

1 **The Rangeland Hydrology and Erosion Model: A Dynamic Approach for Predicting**
2 **Soil Loss on Rangelands**
3

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17 **Key Points:**

- 18 • List up to three key points (at least one is required)
 - 19 • Key Points summarize the main points and conclusions of the article
 - 20 • Each must be 140 characters or less with no special characters or acronyms.
- 21

22 **The Rangeland Hydrology and Erosion Model (RHEM) is a process-based erosion**
23 **prediction tool specific for rangeland application, based on fundamentals of infiltration,**
24 **hydrology, hydraulics, and erosion mechanics. RHEM captures the influence of plant**
25 **lifeform type, vegetation foliar and ground cover, rock cover, slope steepness, soil texture,**
26 **and rainfall on the dominant erosion processes on rangelands. The model utilizes a partial**
27 **differential equation that solves in downslope distance and time during the event. Here we**
28 **present the new dynamic model and evaluate it against 23 observed runoff and sediment**
29 **events collected in a shrub-dominated semiarid watershed in the Arizona, USA. To**
30 **evaluate the model, primary model parameters were determined using RHEM parameter**
31 **estimation equations. Second, the model was calibrated to measurements from the**
32 **watershed. The parameters estimated by the parameter estimation equations were within**
33 **the lowest and highest values of the calibrated parameter set. Third, 124 data points in**
34 **Arizona and New Mexico were used to evaluate runoff and erosion as a function of foliar**
35 **canopy cover and ground cover. The dependence of average sediment yield on surface**
36 **ground cover was moderately stronger than that on foliar canopy cover. The RHEM**
37 **model is shown to track runoff volume, peak runoff, and sediment yield with sufficient**
38 **accuracy for operational use of the model.**

39

40 **1 Introduction**

41 The complex interactions of climate change processes, vegetation characteristics, surface soil
42 processes, and human activities have major impacts on runoff and soil erosion processes on
43 rangeland ecosystems. These processes and activities affect ecosystem function over a wide
44 range of spatial and temporal scales [Williams *et al.*, 2016]. Nearing *et al.* [2004] suggested that
45 climatic variability will increase in the future. That is, global warming is expected to lead to a
46 more vigorous hydrological cycle, including total rainfall and more frequent high-intensity
47 rainfall events [Nearing *et al.*, 2004]. Rangeland degradation is more likely to occur during these
48 extreme rainfall events. Decades of research have shown that rangelands can sustainably produce
49 a variety of goods and services even in the face of extreme climatic events if managers respond
50 quickly and appropriately to changes [Havstad *et al.*, 2009]. While individual ranchers may not
51 be able to reduce the progress of climate change through mitigation, they may be able to adjust to
52 climate change and devise management practices that are more resilient to climate impacts. Soil
53 erosion is among the climate-related impacts that concern rangeland managers since
54 conservation of topsoil is critical to sustained productivity in rangeland ecosystems. Soil loss
55 rates on rangelands are regarded as one of the few quantitative indicators for assessing rangeland
56 health and conservation practice effectiveness [Nearing *et al.*, 2011].

57

58 According to Briske *et al.* [2011], the environmental benefits of grazing lands conservation
59 practices have not previously been quantified at a national scale. The Rangeland Conservation
60 Effects Assessment Project (CEAP) was formally initiated in 2006 to evaluate conservation
61 effectiveness on rangelands and grazed forest that together comprise 188 million hectares of
62 USA nonfederal rural land, as well as large areas of federal land in the western United States.
63 Broad-scale assessments of this type rely on reliable modeling capabilities. According to
64 Nearing and Hairsine [2011], future erosion prediction technology must be capable of simulating
65 the complex interactions between vegetation characteristics, surface soil properties and

66 hydrologic and erosion processes on rangelands. Furthermore, Al-Hamdan *et al.* [2012b] pointed
67 out that better representation of the temporal dynamics of soil erodibility related to disturbed
68 rangeland conditions (e.g., fire) is also needed.
69

70 In 2006, the USDA-Agricultural Research Service (USDA-ARS) developed the Rangeland
71 Hydrology and Erosion Model (RHEM) V1.0 based on state-of-the-art technology from the
72 Water Erosion Prediction Project (WEPP) [Flanagan and Nearing, 1995]. However, the basic
73 equations in the WEPP model are based on experimental data from croplands. While many of the
74 fundamental hydrologic and erosion processes can be expressed in a common way on both crop
75 and rangelands, there were several aspects of the WEPP model that are not optimum for
76 rangeland application and were modified, dropped, or replaced in RHEM [Nearing *et al.*, 2011].
77

78 RHEM V1.0 was initially developed for undisturbed rangelands where the impact of
79 concentrated flow erosion is limited and most soil loss occurs by rain splash and sheet erosion
80 processes. RHEM V1.0 included a new splash and sheet equation developed by Wei *et al.* [2009]
81 based on rainfall simulation data collected on rangeland plots from the WEPP and IRWET
82 [IRWET and NRST, 1998] projects, which together covered 49 rangeland sites distributed across
83 15 western states. Also, it was incorporated the full solution to the kinematic wave equation for
84 overland flow routing instead of the approximate method for calculating peak runoff
85 implemented in WEPP [Stone *et al.*, 1992]. Furthermore, RHEM V1.0 adapted the WEPP's
86 steady state cropland-based shear stress approach for modeling concentrated flow erosion.
87 Consequently, it was not possible to quantify within-storm sediment dynamics [Bulygina *et al.*,
88 2007]. That is, a steady state model does not provide information on peak sediment discharge or
89 the sediment load pattern within the storm, both of which can be useful for assessing potential
90 pollution loadings from sediment fluxes into water courses and identifying sediment sources for
91 designing appropriate management alternatives that reduce sediment losses [Kalin *et al.*, 2004].
92 RHEM V1.0 uses the shear stress partitioning detachment and deposition concepts developed by
93 Foster [1982], which distributes the transport capacity among various particle types.
94

95 The enhanced RHEM V2.3 model discussed herein provides major advantages over existing
96 erosion model prediction technology, including RHEM V1.0. RHEM V2.3 is capable of
97 capturing the influence of different plant types, disturbances such as fire, climate change, and
98 rangeland management practices on important erosion processes acting on rangelands. RHEM
99 has undergone continued review and expansion of capabilities. The most significant between this
100 model and the original are: (1) The model uses a dynamic solution of the sediment continuity
101 equation based on kinematic wave routing of runoff, and the integration of the newly developed
102 splash and sheet source term equation and stream power for predicting sediment transport of
103 concentrated flow erosion. (2) It integrates the approach for estimating the splash and sheet
104 erodibility coefficient formulated by Al-Hamdan *et al.* [2016], who developed equations to
105 predict the differences of erodibility before and after disturbance across a wide range of soil
106 texture classes and vegetation cover types. (3) The model integrates the method for predicting
107 concentrated flow erosion based on the work by Al-Hamdan *et al.* [2013], who developed a
108 dynamic erodibility approach for modeling concentrated flow erosion (e.g., for sites with
109 relatively immediate disturbance, such as fire). (4) The model includes a user-friendly web-based
110 interface to allow users to simplify the use of RHEM, manage scenarios, centralize scenario

111 results, compare scenario results, and provide tabular and graphical results [Hernandez *et al.*,
112 2015].

113
114 RHEM has been applied successfully to illustrate the influence of plant and soil
115 characteristics on soil erosion and hydrologic function in MLRA 41 located in Southeastern
116 Basin and Range region of the southern U.S. [Hernandez *et al.*, 2013]; assess non-federal
117 western rangeland soil loss rates at the national scale for determining areas of vulnerability for
118 accelerated soil loss using USDA Natural Resources Conservation Services(NRCS) National
119 Resources Inventory(NRI) data [Weltz *et al.*, 2014]; predict runoff and erosion rates for
120 refinement and development of Ecological Site Descriptions [Williams *et al.*, 2016]; characterize
121 rangeland conditions based on a probabilistic approach subject to the presence of a set of soil
122 erosion thresholds [Hernandez *et al.* 2016].

123

124 The objectives of this study were as follows. (1) to present the driving equations for the
125 new RHEM V2.3 model; (2) to calibrate the new RHEM V2.3 model using 23 rainfall-runoff-
126 sediment yield events on a small semiarid sub-watershed within the Walnut Gulch Experimental
127 Watershed in Arizona, and compare them against parameters estimated by the RHEM parameter
128 estimation equations; (3) to examine the ranges of parameter values from RHEM parameter
129 estimation equations and compare them to calibrated parameter values; (4) to evaluate the overall
130 influence of foliar canopy cover, ground surface cover, and annual rainfall on soil erosion rates
131 from rangelands using 124 NRI plots in Arizona and New Mexico.

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133 **2. Material and Methods**

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135 This section is divided into four main parts as follows. (1) Presentation of fundamental
136 hydrologic and erosion equations in RHEM, (2) An overview of the RHEM parameter estimation
137 equations, (3) Model calibration with the Model-Independent Parameter ESTimation (PEST)
138 program, (4) Statistical analysis.

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140 2.1. Fundamental hydrologic and erosion equations

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142 2.1.1. Overland flow model

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144 The hydrology component of the enhanced RHEM model is based on the KINEROS2
145 model [Smith *et al.*, 1995]. The model was implemented to simulate one-dimensional overland
146 flow within an equivalent plane representing an arbitrarily shaped hillslope with uniform or
147 curvilinear slope profiles. The flow per unit width across a plane surface as a result of rainfall
148 can be described by the one-dimensional continuity equation [Woolhiser *et al.* 1990].

149

$$150 \quad \frac{\partial h}{\partial t} + \frac{\partial q}{\partial x} = \sigma(x, t) \quad (1)$$

151

152 where h is the flow depth at time t and the position x ; x is the space coordinate along the
153 direction of flow; q is the volumetric water flux per unit plane width ($\text{m}^2 \text{s}^{-1}$); and $\sigma(x, t)$ is the
154 rainfall excess (m s^{-1}).

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$$\sigma(x, t) = r - f \quad (2)$$

where r is the rainfall rate (m s^{-1}), and f is the infiltration rate (m s^{-1}). The following equation represents the relationship between q and h :

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$$q = \left(\frac{8gS}{f_t} \right)^{1/2} h^{3/2} \quad (3)$$

where g is the gravity acceleration (m s^{-2}), S is the slope (m m^{-1}), and f_t is the total friction factor estimated by [Al-Hamdan *et al.*, 2013]. Substituting Equations (2) and (3) in Equation (1) results in the hydrology routing equation:

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$$\frac{\partial h}{\partial t} + \frac{3}{2} \left(\frac{8gS}{f_t} \right)^{1/2} h^{1/2} \frac{\partial h}{\partial x} = r - f \quad (4)$$

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In RHEM, for a single plane, the upstream boundary is assumed to be at zero depth and the downstream boundary is a continuing plane (along the direction of flow).

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$$h(0, t) = 0 \quad (5)$$

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The infiltration rate is computed in KINEROS2 using the three-parameter infiltration equation [Parlange *et al.*, 1982], in which the models of Green and Ampt [1911] and Smith and Parlange [1978] are included as two limiting cases.

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$$f = K_e \left[1 + \frac{\alpha}{\exp\left(\frac{\alpha I}{G\Delta\theta_i}\right) - 1} \right] \quad (6)$$

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where I is the cumulative depth of the water infiltrated into the soil (m), K_e is the surface effective saturated hydraulic conductivity (m s^{-1}), G (m) accounts for the effect of capillary forces on moisture absorption during infiltration, and α is a scaling parameter. When $\alpha=0$, Equation 6 is reduced to the simple Green and Ampt infiltration model, and when $\alpha=1$, the equation simplifies to the Parlange model. Most soil exhibit infiltrability behavior intermediate to these two models, and KINEROS2 uses a weighting α value of 0.85 [Smith *et al.*, 1993]. The state variable for infiltrability is the initial water content, in the form of the soil saturation deficit, $B = G(\theta_s - \theta_i)$, defined as the saturated moisture content minus the initial moisture content. The saturation deficit ($\theta_s - \theta_i$) is one parameter because θ_s is fixed from storm to storm. For ease of estimation, the KINEROS2 input parameter for soil water is a scaled moisture content, $S=\theta/\phi$, (ϕ is the soil porosity) which varies from 0 to 1. Thus initial soil conditions are represented by the variable $S_i (= \theta_i/\phi)$. Thus, there are two parameters, K_e , and G to characterize the soil, and the variable S_i to characterize the initial condition

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194 2.1.2. Overland soil erosion, deposition, and transport
195

196 The RHEM erosion model uses a dynamic sediment continuity equation to describe the
197 movement of suspended sediment in a concentrated flow area [Bennett, 1974].
198

$$199 \quad \frac{\partial(Ch)}{\partial t} + \frac{\partial(Cq_r)}{\partial x} = D_{ss} + D_{cf} \quad (7)$$

200
201 Where C is the measured sediment concentration (kg m^{-3}), q_r is the flow discharge of
202 concentrated flow per unit width ($\text{m}^2 \text{s}^{-1}$), D_{ss} is the splash and sheet detachment rate ($\text{kg s}^{-1} \text{m}^{-1}$),
203 and D_{cf} is the concentrated flow detachment rate ($\text{kg s}^{-1} \text{m}^{-2}$). For a unit wide plane, when
204 overland flow accumulates into a concentrated flow path, the following equation calculates the
205 concentrated flow discharge per unit width (q_r):
206

$$207 \quad q_r = \frac{q}{w} \quad (8)$$

208
209 Where w is the concentrated flow width (m) calculated by [Al-Hamdan *et al.*, 2012a]
210

$$211 \quad w = \frac{2.46 Q^{0.39}}{S^{0.4}} \quad (9)$$

212
213 The splash and sheet detachment rate (D_{ss}) is calculated by the following equation [Wei *et al.*,
214 2009]:
215

$$216 \quad D_{ss} = K_{ss} r^{1.052} \sigma^{0.592} \quad (10)$$

217
218 where K_{ss} is the splash and sheet erodibility, r (m s^{-1}) is the rainfall intensity and σ is rainfall
219 excess (m s^{-1}).
220

221 Concentrated flow detachment rate (D_{cf}) is calculated as the net detachment and deposition rate
222 [Foster, 1982]:
223

$$224 \quad D_{cf} = \begin{cases} D_c \left(1 - \frac{CQ}{T_c}\right), CQ \leq T_c \\ \frac{0.5 V_f}{Q} (T_c - CQ), CQ \geq T_c \end{cases} \quad (11)$$

225
226 where D_c is the concentrated flow detachment capacity ($\text{kg s}^{-1} \text{m}^{-2}$); Q is the flow discharge ($\text{m}^3 \text{s}^{-1}$);
227 T_c is the sediment transport capacity (kg s^{-1}); and V_f is the soil particle fall velocity (m s^{-1}) that
228 is calculated as a function of particle density and size [Fair *et al.*, 1971].
229

230 Sediment detachment rate from the concentrated flow is calculated by employing soil
231 erodibility characteristics of the site and hydraulic parameters of the flow such as flow width and

232 stream power. Soil detachment is assumed to start when concentrated flow starts (i.e. no
 233 threshold concept for initiating detachment is used) [Al-Hamdan *et al.*, 2012b].

234

235 To calculate D_c , the equation developed by Al-Hamdan *et al.* [2012b] is used:

236

$$237 \quad D_c = K_w(w) \quad (12)$$

238

239 where K_w is the stream power erodibility factor ($s^2 m^{-2}$) and w is the stream power ($kg s^{-3}$). We
 240 implemented the empirical equation developed by Nearing *et al.* [1997] to calculate the transport
 241 capacity (T_c).
 242

$$243 \quad \text{Log}_{10} \left(\frac{10T_c}{w} \right) = -34.47 + 38.61 * \frac{\exp[0.845 + 0.412 \log(1000w)]}{1 + \exp[0.845 + 0.412 \log(1000w)]} \quad (13)$$

244

245 Soil detachment is assumed to be a nonselective process, so the sediment particles size
 246 distribution generated from actively eroding areas is assumed to be a function of the fraction of
 247 total sediment load represented by five particle classes based on soil texture. The transport
 248 capacity equation of Nearing *et al.* [1997] does not account for particle sorting. Consequently,
 249 routing of sediment by size particle is not carried out.

250

251 Several studies have documented increases in peak flows and erosion occurring on
 252 systems that have been altered by some disturbance. For example, at the plot/hillslope scale,
 253 factor increases in sediment delivery between 2- and 1000 -fold have been reported [Morris and
 254 Moses, 1987; Scott and Van Wyk, 1992; Shakesby *et al.*, 1993; Cerda, 1998; Cannon *et al.*,
 255 2001; Pierson *et al.*, 2002]. Results from rainfall simulator experiments suggest that erosion rates
 256 are much higher in the early part of a runoff event than in the latter part of the event on forest
 257 roads [Foltz *et al.*, 2008] and burned rangeland [Pierson *et al.*, 2008]. These rapid changes in the
 258 concentrated flow erosion rate on disturbed soils may be caused by the winnowing of fine or
 259 easily detached soil particles during the early stages of erosive runoff, thus leaving larger or
 260 more embedded particles and/or aggregates which require greater stream power for detachment
 261 [Robichaud *et al.*, 2010].
 262

262

263 RHEM also has the capacity, as an option, to use equations developed by Al-Hamdan *et*
 264 *al.* [2012b] for characterizing events with high concentrated flow erodibility at the onset of the
 265 event with exponentially decreasing erodibility because of the reduction of the availability of
 266 disturbance generated sediment.

267

$$268 \quad D_c = K_{w(Max)adj} \exp(\beta q_c) \omega \quad (14)$$

269

$$270 \quad q_c = \int q_r dt \quad (15)$$

271

$$272 \quad \omega = \gamma S q_r \quad (16)$$

273

274 where $K_{\omega(Max)adj}$ is the maximum stream power erodibility ($s^2 m^{-2}$) corresponding to the decay
 275 factor $\beta = -5.53 (m^{-2})$, β is a decay coefficient representing erodibility change during an event

276 (m^{-2}), ω is the stream power ($kg\ s^{-3}$), q_c is the cumulative flow discharge of concentrated flow per
277 unit width (m^2), γ is the water specific weight ($kg\ m^{-2}\ s^{-2}$), and S is the slope ($m\ m^{-1}$).
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280 2.2. RHEM Model Parameter Estimation Equations

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282 An important aspect of RHEM about the application by rangeland managers is that it is
283 parameterized based on plant growth form types using data that are typically collected for
284 rangeland management processes (e.g. rangeland health or NRI assessments).
285

286 2.2.1. Effective saturated hydraulic conductivity

287

288 Research has indicated that infiltration, runoff, and erosion dynamics are correlated with
289 the presence/absence and composition of specific plant taxa and growth attributes [Davenport *et al.*, 1998, Wainwright *et al.*, 2000, Ludwig *et al.*, 2005, Peters *et al.*, 2007, Turnbull *et al.*, 2008,
290 Turnbull *et al.*, 2012, Petersen *et al.*, 2009, Pierson *et al.*, 2010, Pierson *et al.*, 2013, Wilcox *et al.*, 2012a and Williams *et al.*, 2014]. It has been known that infiltration of rainfall on rangelands
291 is increased with an increase of vegetal surface cover present. Tromble *et al.* [1974] evaluated
292 infiltrability on three range sites in Arizona and found vegetal cover and litter biomass to be most
293 positively related, whereas gravel cover was negatively related. Meeuwig [1970] and Dortignac
294 and Love [1961] also found litter cover to be important. Work by Spaeth *et al.* [1996] concluded
295 that plant species and ground cover effects significantly enhanced estimation of infiltration
296 capacity compared to purely physically based predictions. The study by Thompson *et al.* [2010]
297 provides a detail literature review about research that has been conducted concerning vegetation-
298 infiltration relationships across climate and soil type gradients.
299
300

301 Soil texture may be used as the first estimator of K_e because texture affects the pore space
302 available for water movement. Also, soil texture is easy to measure and often available for an
303 area of interest. Rawls *et al.* [1982] developed a look-up table of K_s values for the 11 USDA soil
304 textural classes. Bulk density is another basic soil property that is related to pore space and water
305 movement. Rawls *et al.* [1998] revised the texture-based look-up table to include two porosity
306 classes within each textural class, the geometric means of the K_s along with the 25% and 75%
307 percentile values. The texture/porosity K_s estimates were based on a national database of
308 measured K_s values and soil properties at 953 locations. These estimates indicate that (1) K_s is
309 highest for coarse-textured soils and (2) within a textural class, soils with greater porosity (lower
310 bulk density) have higher K_s values.
311
312

313 The geometric mean of K_s sorted according to the soil texture, and bulk density classes
314 along with the 25% and 75% percentile values are presented in Table 3. Also, reported in Table 1
315 is the corresponding arithmetic mean porosity ϕ ($m^3\ m^{-3}$) and mean capillary drive G (mm).
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320 **Table 1.** Estimation guides for soil hydraulic properties based on sample data [Rawls *et al.*,
 321 1998]. The geometric mean of the Ks sorted according to soil texture and bulk density classes
 322 along with the 25% and 75% percentile.

USDA Soil Class Texture	Geometric Mean Ks (mm h ⁻¹)	75% (mm h ⁻¹)	25% (mm h ⁻¹)	Porosity (m ³ m ⁻³)	Mean capillary drive G (mm)	Sand (%)	Clay (%)	Sample Size
Sand	181.9	266.8	96.5	0.44	50	92	4	39
	91.4	218.5	64.0	0.39		91	4	30
Loamy Sand	123.0	195.5	83.8	0.45	70	82	6	19
	41.4	77.6	30.5	0.37		82	7	28
Sandy Loam	55.8	129.6	30.5	0.47	130	65	11	75
	12.8	31.3	5.1	0.37		68	13	112
Loam	3.9	28.4	1.6	0.47	110	38	23	44
	6.2	16.5	2.8	0.39		43	22	65
Silt Loam	14.4	37.1	7.6	0.49	200	18	19	61
	3.4	9.9	1.0	0.39		21	20	46
Sandy Clay Loam	7.7	50.5	2.0	0.44	260	56	26	20
	2.8	10.9	1.0	0.37		58	26	53
Clay Loam	4.2	13.1	2.2	0.48	260	29	35	20
	0.7	3.8	0.2	0.40		35	35	53
Silty Clay Loam	3.7	10.4	2.3	0.50	350	10	34	26
	4.9	14.0	2.3	0.43		10	32	33
Sandy Clay	0.9	2.5	0.3	0.39	300	51	36	14
Silty Clay	1.8	7.5	0.5	0.53	380	4	49	10
Clay	2.0	6.0	0.9	0.48	410	18	53	20
	1.8	6.9	0.3	0.40		26	50	21

323
 324 Saturated hydraulic conductivity is known to be lognormally distributed in space [Nielsen
 325 *et al.*, 1973; Smith and Goodrich, 2000; Nielsen and Wendroth, 2003], with variations of an
 326 order of magnitude or more across relatively short distances. It is clear that representing a
 327 landscape using various values of saturated conductivity distributed across space with a
 328 lognormal distribution is more realistic than a single uniformly applied mean value. The RHEM
 329 model defines a range of hydraulic conductivity values based on the 25% and 75% percentile
 330 values for each soil textural class reported in Table 1 [Rawls *et al.*, 1998]. Then we adjusted
 331 them to account for the effects of litter and basal cover based on the exponential model
 332 developed by Stone *et al.* [1991]. Stone *et al.* [1991] developed an exponential model to adjust
 333 the baseline saturated hydraulic conductivity [Rawls *et al.*, 1982] as a function of surface cover
 334 and canopy cover based on an unpublished analysis of rainfall simulator data on desert brush
 335 dominated sites in Arizona and Nevada. Moreover, they divided the baseline saturated hydraulic
 336 conductivity by two to account for the effects of crusting on the effective saturated hydraulic
 337 conductivity. However, Stone *et al.* [1991] did not report criteria to assess the goodness of fit of
 338 the model and the range of values of the predictor variables. In the model developed by Stone *et al.*
 339 [1991], the effective saturated hydraulic conductivity increases exponentially as ground cover
 340 and canopy cover increases, which is consistent with the trend shown in croplands reported by
 341 Rawls *et al.* [1990] and Zhang *et al.* [1995]. Moreover, as pointed out by Zhang *et al.* [1995], for
 342 accurate simulation of the effects of canopy cover on infiltration and runoff, the impact of
 343 canopy height must be considered.

344 RHEM estimates of effective saturated hydraulic conductivity are computed as follows:

$$346 K_{e_i} = K_{b_i} e^{[p_i(litter+basal)]} \quad (17)$$

348
349 In this equation, K_{b_i} is the 25% percentile saturated hydraulic conductivity for each soil
350 textural class, i , listed in Table 1. P is defined as the natural log of the ratio of the 75% to the
351 25% percentile values of saturated hydraulic conductivity; *litter* is litter cover (%); and *basal* is
352 basal area cover (%).

354 2.2.2. Hydraulic roughness coefficient

355
356 Al-Hamdan *et al.* [2013] developed empirical equations that predict the total measured
357 friction factor (f_t) by regressing the total measured friction against the measured vegetation and
358 rock cover, slope, and flow rate. The data used in their study were obtained from rangeland
359 rainfall simulator experiments conducted by the USDA-ARS Northwest Watershed Research
360 Center in Boise, Idaho. The data were collected from rangeland sites within the U.S. Great Basin
361 region and a broad range of slope angles (5.6% to 65.8%), soil types, and vegetation cover.
362 Many of these sites show some degree of disturbance and/or treatment, such as tree
363 encroachment, prescribed fire, wildfire, tree mastication, and/or tree cutting. Average slope,
364 canopy and ground cover, and micro-topography were measured for each plot [Pierson *et al.*,
365 2007, 2009, 2010].

366
367 According to Al-Hamdan *et al.* [2013], total hydraulic friction was negatively correlated
368 with flow discharge and the percentage of bare ground, and it was positively correlated with the
369 presence of vegetation cover and slope. Equations that were developed from concentrated flow
370 data have significantly different coefficients values compared to those obtained from sheet flow
371 data. The flow discharge and slope in the total friction equation enhanced the prediction of the
372 total friction, and consequently improved the estimation of the proportion of the assumed soil
373 friction to total friction. All equations derived by Al-Hamdan *et al.* [2013] showed that basal
374 plant cover was the most important effect on total friction among other cover attributes.

375
376 RHEM computes the total friction (f_t) factor estimated by [Al-Hamdan *et al.*, 2013] as
377 follows:

$$378 \log(f_t) = -0.109 + 1.425 \textit{litter} + 0.442 \textit{rock} + 1.764 (\textit{basal} + \textit{cryptogams}) +$$
$$379 \quad 2.068 S \quad (18)$$

380
381 where *litter* is the fraction of area covered by litter to total area ($\text{m}^2 \text{m}^{-2}$), *basal + cryptogams* is
382 the fraction of area covered by basal plants and cryptogams to total area ($\text{m}^2 \text{m}^{-2}$), and *rock* is the
383 fraction of area covered by rock to total area ($\text{m}^2 \text{m}^{-2}$), and S is the slope (m m^{-1}).

386 2.2.3. Splash and sheet erodibility factor

387
388 The RHEM model parameterization represents erosion processes on undisturbed
389 rangelands, as well as rangelands that show disturbances such as fire or woody plant

390 encroachment [Nearing *et al.*, 2012; Hernandez *et al.* 2013; Al-Hamdan *et al.* 2016; Williams *et*
 391 *al.* 2016]. In RHEM, soil detachment is predicted as a combination of two erosion processes, rain
 392 splash and thin sheet flow (splash and sheet) detachment and concentrated flow detachment.
 393

394 This section presents empirical equations developed by Al-Hamdan *et al.* [2016] using
 395 piecewise regression analysis to predict erodibility across a broad range of soil texture classes
 396 based on vegetation cover and surface slope steepness.
 397

398 Bunch Grass:

$$400 \text{Log}_{10} K_{SS} = \left\{ \begin{array}{ll} 4.154 - 2.547 * G - 0.7822 * F + 2.5535 * S & \text{if } G \leq 0.475 \\ 3.1726975 - 0.4811 * G - 0.7822 * F + 2.5535 * S & \text{if } G > 0.475 \end{array} \right\} (19)$$

402 Sod Grass:

$$405 \text{Log}_{10} K_{SS} = \left\{ \begin{array}{ll} 4.2169 - 2.547 * G - 0.7822 * F + 2.5535 * S & \text{if } G \leq 0.475 \\ 3.2355975 - 0.4811 * G - 0.7822 * F + 2.5535 * S & \text{if } G > 0.475 \end{array} \right\} (20)$$

406 Shrub:

$$409 \text{Log}_{10} K_{SS} = \left\{ \begin{array}{ll} 4.2587 - 2.547 * G - 0.7822 * F + 2.5535 * S & \text{if } G \leq 0.475 \\ 3.2773975 - 0.4811 * G - 0.7822 * F + 2.5535 * S & \text{if } G > 0.475 \end{array} \right\} (21)$$

410 Forbs:

$$412 \text{Log}_{10} K_{SS} = \left\{ \begin{array}{ll} 4.1106 - 2.547 * G - 0.7822 * F + 2.5535 * S & \text{if } G \leq 0.475 \\ 3.1292975 - 0.4811 * G - 0.7822 * F + 2.5535 * S & \text{if } G > 0.475 \end{array} \right\} (22)$$

415 where G is the area fraction of ground cover, F is the area fraction of foliar cover, and S is the
 416 slope gradient (expressed as a fraction).
 417

418 The performance of the model with the new parameterization schemes indicates that
 419 using K_{ss} alone, as the indicator of erodibility factor in RHEM, works reasonably well as long as
 420 concentrated flow paths work primarily as the transport tool of the splash and sheet-generated
 421 sediments. The default value for K_w was set as 7.7×10^{-6} ($s^2 m^{-2}$) in the current RHEM V2.3. This
 422 small value of concentrated flow erodibility is typical for undisturbed rangeland. It is
 423 recommended to use the K_{ss} equation that represents the dominant vegetation community in the
 424 site to be evaluated. However, if the site does not have a dominant vegetation form or more
 425 details are needed, then weight averaging between equations (19) through (22) based on the
 426 percentage of life form would be used. Only in the special case of abrupt disturbance with steep
 427 slopes ($> 20\%$) and high silt, would the parameterization of K_w (as described in Section 2.2.4) be
 428 needed.
 429

430 2.2.4. Concentrated flow erodibility coefficients

431

432 The model employs two empirical functions developed by Al-Hamdan *et al.* (2012b) to
 433 calculate K_w for a broad range of undisturbed rangeland sites and tree encroached sites.

$$434 \log_{10}(K_w) = -4.14 - 1.28litter - 0.98rock - 15.16clay + 7.09silt \quad (23)$$

$$436 \log_{10}(K_w) = -4.05 - 0.81(litter + cryptogams + basal) - 11.87clay$$

$$437 + 5.19silt \quad (24)$$

439 The model also has the capacity, as an option, to use equations developed by Al-Hamdan
 440 *et al.* [2012b] for predicting maximum erodibility for a wide range of burned rangeland sites
 441 including burned tree encroached sites.

$$442 \log_{10}(K_{w(max)adj})$$

$$443 = -3.28 - 1.77litter - 1.26rock - 2.46(basal + crypto)$$

$$444 + 3.53silt \quad (25)$$

$$445 \log_{10}(K_{w(max)adj})$$

$$446 = -3.64 - 1.97(litter + basal + crypto) - 1.85rock - 4.99clay$$

$$447 + 6.0silt \quad (26)$$

448 where *litter*, *basal*, and *crypto* are the fraction of area covered by litter, basal, and cryptogam to
 449 total area ($m^2 m^{-2}$), *rock* is the fraction of area covered by rock to the total area ($m^2 m^{-2}$), and *clay*
 450 and *silt* fraction.

451 2.3. PEST model parameterization

452 This study employs PEST software [Doherty, 2005] to calibrate RHEM parameters and
 453 evaluate model performance for the 23 rainfall-runoff-erosion events at LH106. The parameter
 454 calibration process included two approaches: first, the overland flow related parameters were
 455 calibrated (effective saturated hydraulic conductivity, total friction factor, capillary drive, and
 456 saturation). The parameters slope, coefficient of variation for K_e , and Interception were held
 457 constant during the calibration. A detailed description of the overland flow parameters can be
 458 found in Smith *et al.* [1995]; second, the calibration of the splash-and-sheet soil erodibility
 459 coefficient was achieved by keeping constant the optimized overland flow parameters.

460 2.4. Statistical analysis

461 Nash-Sutcliffe Efficiency (NSE) [Nash and Sutcliffe, 1970] between observed and
 462 calculated cumulative flows was calculated for each single event at LH106 as follows:

$$463 NSE = 1 - \frac{\sum_{t=1}^T (O_t - M_t)^2}{\sum_{t=1}^T (O_t - \bar{O})^2} \quad (27)$$

464

476 where O_t , \bar{O} and M_t are observed cumulative flows at time step t , average cumulative value, and
477 modeled cumulative flows at time step t , respectively. T is the total number of time steps in the
478 simulation for each rainfall event.

479
480 Moreover, percent bias (PBIAS) [Gupta *et al.*, 1999] and the RMSE-observations
481 standard deviation ratio (RSR) [Moriasi *et al.*, 2007] were calculated to evaluate the overall
482 performance of the model for runoff volume, peak runoff, and sediment yield estimates from the
483 23 events at LH106.

484
485 *PBIAS* was calculated by
486

$$487 \quad PBIAS = \frac{\sum_{i=1}^N (O_i - M_i) * 100}{\sum_{i=1}^N O_i} \quad (28)$$

488 *RSR* was calculated by
489

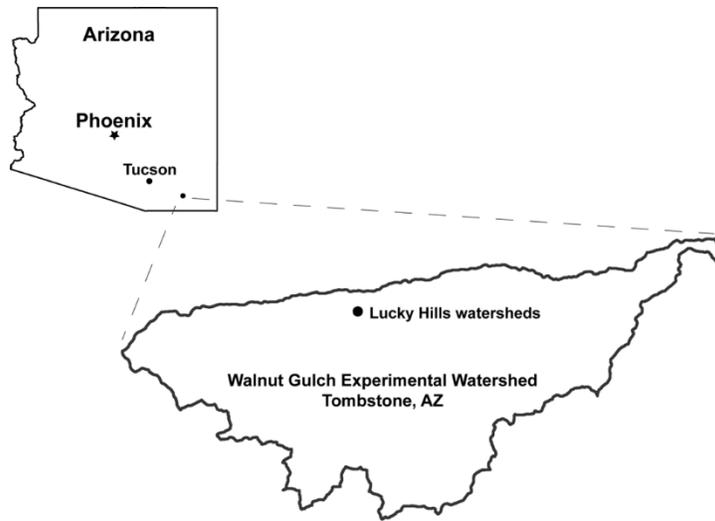
$$490 \quad RSR = \frac{\sqrt{\sum_{i=1}^N (O_i - M_i)^2}}{\sqrt{\sum_{i=1}^N (O_i - \bar{O})^2}} \quad (29)$$

491
492 where O_i is the observed value of event i ; M_i is the model generated value for the corresponding
493 event i ; \bar{O} is the average of the observed values, and N is the total number of events at LH106.

494 495 496 **3. Study Area and NRI database**

497 498 3.1. Lucky Hills 106 watershed

499
500 The data used for the calibration and evaluation of the model were obtained from the
501 USDA-ARS Southwest Watershed Research Center's Lucky Hills experimental site, located in
502 the Walnut Gulch Experimental Watershed (WGEW). The semiarid WGEW is located in
503 southeastern Arizona (31° 43'N, 110° 41'W) and surrounds the town of Tombstone, Arizona
504 (Fig. 1). It has a mean annual temperature of 17.7°C and a mean annual precipitation of 350 mm,
505 the majority of which is a result of high-intensity convective thunderstorms in the summer
506 monsoon season [Keefer *et al.*, 2015].
507



508
 509 **Figure 1.** Location of the Lucky Hills subwatershed study area within the Walnut Gulch
 510 Experimental Watershed.
 511

512 The Lucky Hills 106 (LH106) subwatershed has an area of 0.367 hectares. The LH106
 513 subwatershed presents an excellent location for this study because of the availability of rainfall,
 514 runoff, Time Domain Reflectometry (TDR) sensors placed at each rain gauge for estimating
 515 gravimetric soil moisture, and sediment time-series data required for model calibration at the
 516 hillslope scale. It also is appropriate because it is not highly channelized and acts more as a large
 517 hillslope rather than a watershed with significant contribution of channel sediment [Nichols *et*
 518 *al.*, 2012]. At this scale, rainfall amount and intensity, vegetative canopy cover, ground surface
 519 cover, and micro-topography (and their spatial variability) largely determine overland flow and
 520 soil erosion processes [Lane *et al.*, 1997]. Rainfall is recorded at Rain Gauge 83 with a temporal
 521 resolution of 1 min (Fig. 2). A 1m x 1m DEM was prepared based on LIDAR survey and used to
 522 relate to micro-topography characteristics.
 523

524 The vegetation is comprised mostly of shrubs on an 8% slope. Dominant shrubs include
 525 Creosote [*Larrea tridentata* (Sessé & Moc. ex DC.) Coville] and Whitethorn [*Acacia constricta*
 526 Benth.]. Foliar and ground cover information is given in Table 2. The soil is a Lucky Hills-
 527 McNeal sandy loam complex with approximately 52% sand, 26% silt, and 22% clay on a Limy
 528 Uplands (12-16"p.z.) ecological site. Rainfall and runoff data have been collected at Lucky Hills
 529 since 1963 when rain gauge 83 and weirs LH 104 and 102 were installed (Fig. 2). Rain gauge 84
 530 was added in 1964, when an H-flume was installed on LH106 in 1965 (Fig. 2), with integrated
 531 depth pump samplers added in 1973 to collect suspended sediment samples in addition to the
 532 coarse load deposited in the flume during each event [Simanton *et al.*, 1993]. Since the
 533 instrumentation was installed in the early 1960's, rainfall and runoff data have been collected
 534 with only short interruptions for upgrading equipment, which occurred during the winter [Renard
 535 *et al.*, 1980]. Sediment data are prone to periodic sampling errors, so sediment data are not
 536 available for many events for which rainfall and runoff data are available [Nearing *et al.*, 2007].
 537
 538
 539

540 **Table 2.** Summary of the ground surface and foliar canopy cover for Lucky Hills 106
 541 subwatershed.

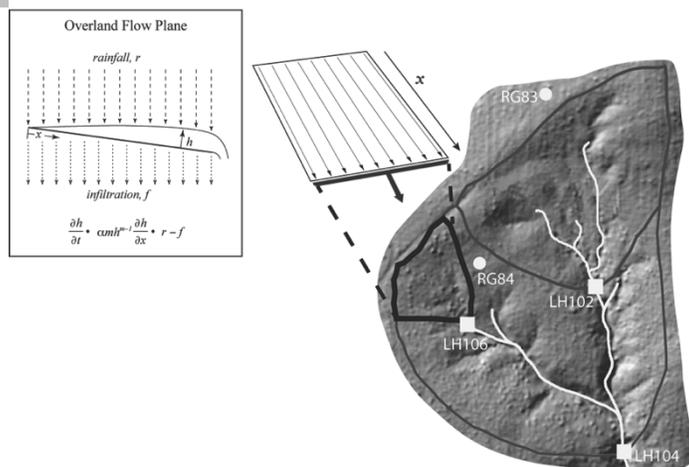
Cover			
Ground Surface	(%)	Foliar Canopy	(%)
Basal	3	Bunch Grass	1
Rock	45	Forbs/Annual Grasses	2
Litter	10	Shrub	35
Cryptogams	0	Sod Grass	0
Total	58	Total	38

542 We used 23 time-intensity pairs collected between 2005 and 2010 from Rain Gauge 83 as
 543 an input into the RHEM model to assess the hydrologic and erosion response of LH106 (Fig. 2).
 544 Summary descriptive statistics of rainfall, observed runoff volume, observed peak runoff, and
 545 observed sediment yield are presented in Table 3.
 546

547 **Table 3.** Summary descriptive statistics of the 23 events at Lucky Hills 106 and Rain Gauge 83.
 548

	Mean	Min	Max	Std
Rainfall Volume (mm)	21.86	8.64	46.35	12.08
Runoff Volume (mm)	7.63	2.10	22.82	6.06
Peak Runoff Rate (mm h ⁻¹)	38.34	11.92	106.56	24.01
Sediment Yield (t ha ⁻¹)	0.23	0.03	0.94	0.23

549



550 **Figure 2.** Lucky Hills 106 and its representation as overland flow plane in the RHEM model.
 551
 552

553
 554

555 3.2. National Resources Inventory Field Measurements and Data Description

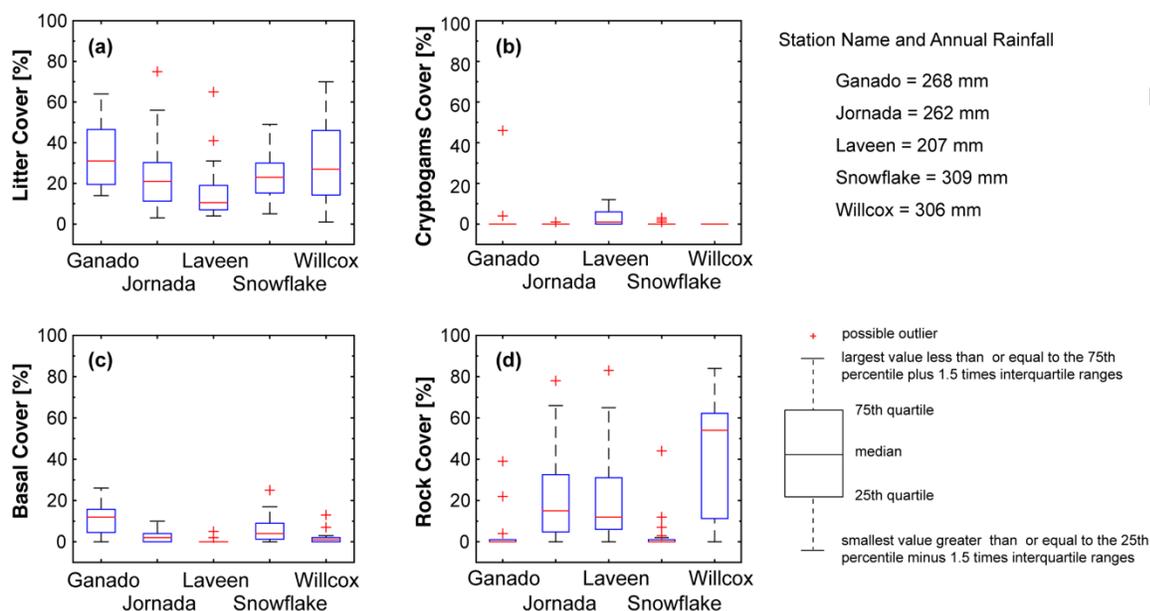
556

557 A major data source for rangeland assessment on non-federal lands is the National
 558 Resource Inventory (NRI) [Goebel and Schmude, 1980]. The USDA-NRCS provided data for
 559 542 NRI points collected between 2003 and 2014 across Arizona, New Mexico, and Utah to
 560 parameterize the RHEM model. The points were grouped by soil texture classes, as follows:
 561 sand, sandy loam, silt loam, and clay loam. For this study, we selected only the sandy loam soil
 562 texture class to be in agreement with the LH106 soil texture class. We found 124 NRI points in
 563 the sandy loam group. Furthermore, the 124 NRI data points were further grouped into annual
 564 rainfall regimes measured at five weather stations. The Jornada weather station is located in New
 565 Mexico, and Ganado, Laveen, Snowflake, and Willcox are in Arizona.

566

567 Next, ground surface cover, foliar cover, basal area, cryptogams cover, litter cover, rock
 568 fragment cover, and slope gradient percent were estimated from the 124 NRI points. Figures 3, 4,
 569 and 5 present the distributions for ground surface cover, foliar canopy cover, and slope steepness
 570 grouped by annual rainfall amounts. For purposes of RHEM application, ground cover is the
 571 cover of the soil surface that essentially is in contact with the soil, as opposed to canopy cover or
 572 foliar cover, which is cover above the ground surface. Ground cover may be present in the form
 573 of plant litter, rock fragments, cryptogams, and basal plant areas. A comprehensive review of the
 574 NRI inventory sampling strategy is presented in Goebel [1998]. A review of new proposed NRI
 575 protocols on non-federal rangelands is presented in the National Resources Inventory Handbook
 576 of Instructions for Rangeland Field Study Data Collection [USDA 2005], and a summary of NRI
 577 results on rangeland is presented in Herrick *et al.* [2010].

578

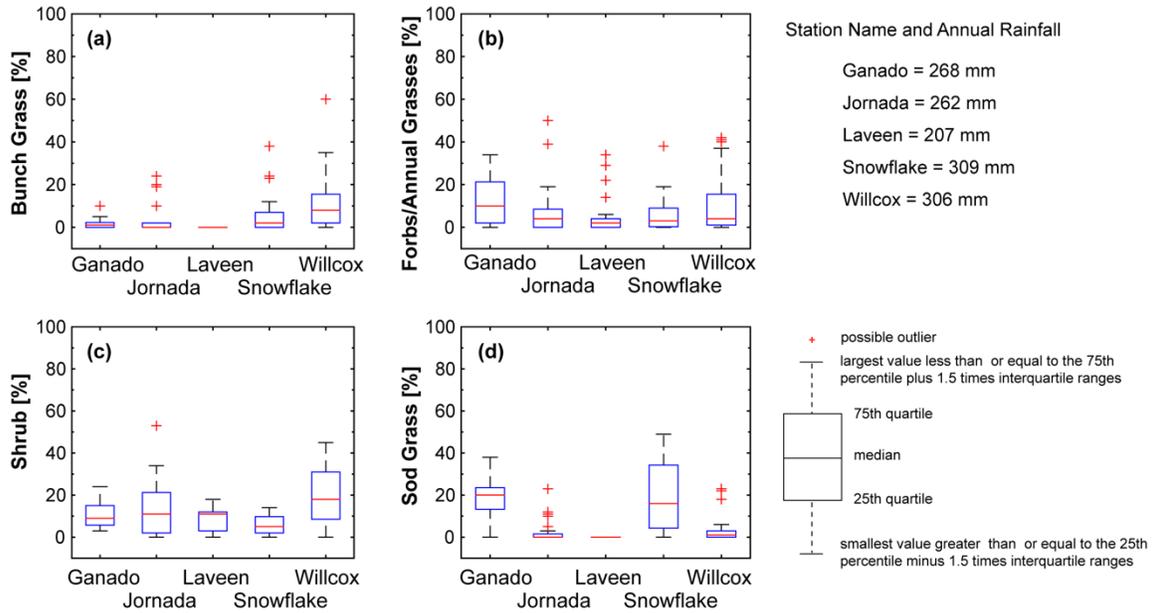


579

580 **Figure 3.** Distributions of ground surface cover grouped by the five weather stations. (a) Litter
 581 cover, (b) Cryptogams, (c) Basal area, and (d) Rock cover.

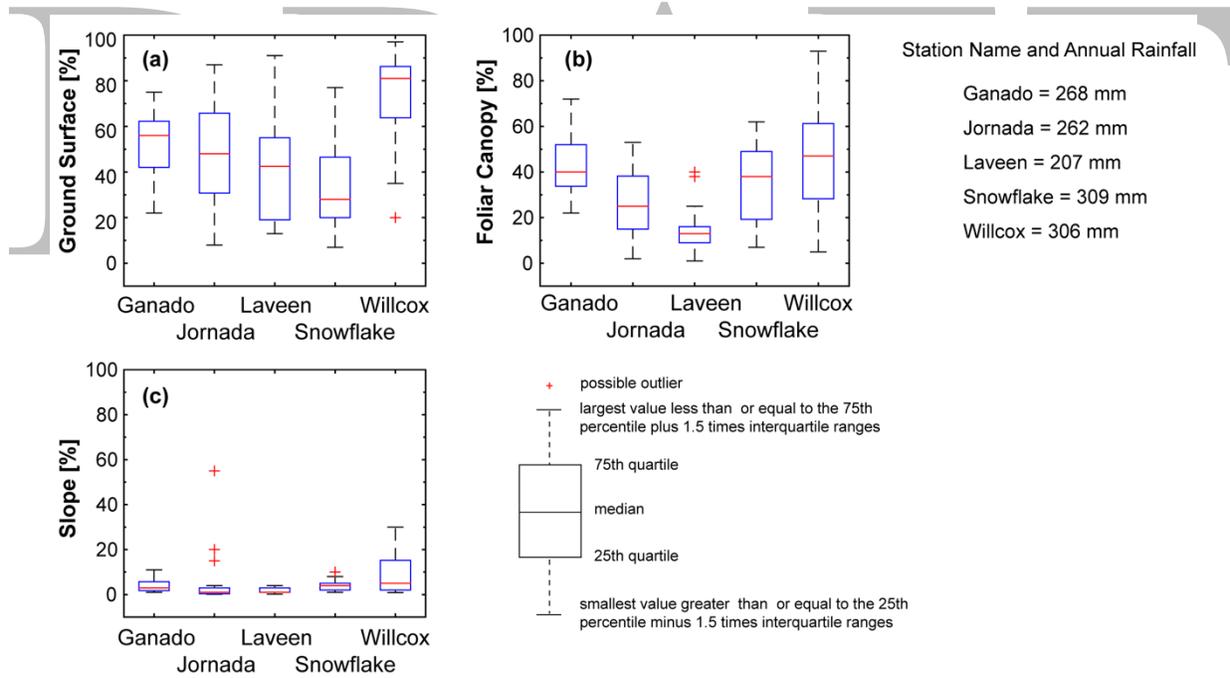
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Figure 4. Distributions foliar canopy cover grouped by the five weather stations. (a) Bunch grass, (b) Forbs/Annual grasses, (c) Shrub, and (d) Sod grasses.



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594

Figure 5. Distributions of total ground surface cover and foliar canopy cover grouped by the five weather stations, and slope steepness of each NRI points classified based on the weather station's radius of influence.

595 **4. Results and Discussion**

596

597

598 4.1. Model performance with RHEM parameter estimation equations

599

600 Total friction factor (f_i), effective saturated hydraulic conductivity (K_e), splash and sheet
 601 erodibility coefficient (K_{ss}), and concentrated flow erodibility coefficient (K_w) were estimated
 602 with the RHEM empirical equations for LH106 (Table 4). In this case we calculated K_w as the
 603 geometric mean of Equations (23) and (24).
 604

605

Table 4. RHEM parameter values estimated using the empirical equations.

Parameters	Symbol	Units	Value
Total friction factor	f_i	dimensionless	5.50
Effective saturated hydraulic conductivity	K_e	(mm h ⁻¹)	7.29
Splash and sheet erodibility coefficient	K_{ss}	dimensionless	2661.22
Concentrated flow erodibility coefficient	K_w	(s ² m ⁻²)	8.62x10 ⁻⁶

606

607 The model performance based on the *PBIAS* and *RSR* goodness of fit criteria for runoff
 608 volume, peak runoff, and sediment yield at LH106 is shown in Table 5.
 609

610

Table 5. Model performance statistics for Lucky Hills 106.

Evaluation criteria	Runoff Volume	Peak Runoff	Sediment Yield
<i>PBIAS</i> (%)	2	21	-28
<i>RSR</i> (dimensionless)	0.49	0.57	0.58

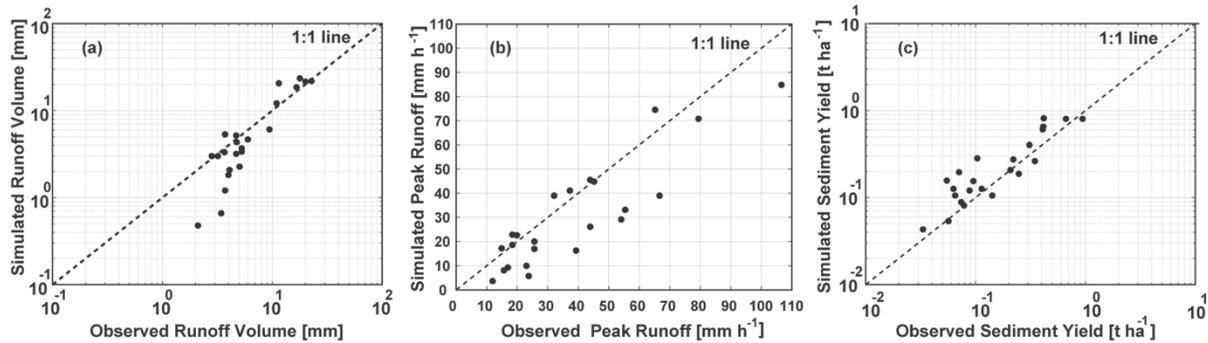
611

612 Based on the model performance criteria reported by Moriasi *et al.* [2007], model
 613 performance based on the *RSR* criterion can be evaluated as “very good” if $0 \leq RSR \leq 0.5$ and
 614 “good” if $0.50 < RSR \leq 0.60$. Therefore, these rankings suggest that RHEM performance can be
 615 evaluated as “very good” for runoff volume, and “good” for peak runoff and sediment yield.
 616 However, based on Moriasi *et al.*, (2007) *PBIAS* criterion, the RHEM performance can be
 617 evaluated for runoff volume and peak runoff as “very good” if $PBIAS < \pm 10$, “good” if $\pm 10 \leq$
 618 $PBIAS \leq 15$, and “satisfactory if $\pm 15 \leq PBIAS \leq 25$, and for sediment yield can be evaluated as
 619 “good” if $\pm 15 \leq PBIAS \leq 30$. These criteria suggest that RHEM can be evaluated as ‘very good’
 620 for runoff volume, “satisfactory” for peak runoff, and “good” for sediment yield.
 621

622

623 Positive *PBIAS* values indicate model underestimation bias, and negative values indicate
 624 overestimation bias [Gupta *et al.*, 1999]. It is apparent from Figure 6(a) that the model
 625 performance for runoff volume prediction is poor with small events and improves with large
 626 events, which is common for models [Nearing, 2000]. Figure 6(b) shows strong under prediction
 627 of peak runoff among 14 runoff events, whereas sediment yield is in general over predicted for
 628 the small events in Figure 6(c). One explanation for this behavior could be attributed to K_w ; that
 629 is, it was estimated by calculating the geometric mean between the equations (23) and (24)
 630 developed by Al-Hamdan *et al.*, [2012b]. Equation (23) estimates K_w as a function of litter, rock,
 631 clay and silt, and Equation (24) based on litter, basal, clay, and silt. Al-Hamdan *et al.* [2012b]
 proposed these equations to estimate average erodibility for a wide range of undisturbed

632 rangeland sites. The K_w estimates computed using Equations (23) and (24) for LH106 are as
 633 follows: 4.37×10^{-6} ($s^2 m^{-2}$) and 1.70×10^{-5} ($s^2 m^{-2}$), respectively. K_w estimated by Equation (24)
 634 is nearly four times the estimated by Equation (23). Therefore, we calculated the geometric mean
 635 [8.62×10^{-6} ($s^2 m^{-2}$)] and kept it constant in the analysis. The approach of estimating K_w could
 636 introduce some bias in the estimation of sediment yield.
 637



638
 639 **Figure 6.** Comparison between observed and simulated results for each rainfall-runoff event at
 640 Lucky Hills 106: (a) Runoff volume, (b) Peak runoff and (c) Sediment yield. The parameters for
 641 these simulations were based on the RHEM parameter estimation equations.
 642

643 Based on the criteria for assessing goodness of fit of the model reported in Table 5 and the
 644 1:1 line in Figure 6, it is reasonable to conclude that RHEM is a tool that can be used for relative
 645 change analysis for comparing erosion rates of different plant functional types (bunchgrass,
 646 shrub, forb/annuals, and sod grasses).
 647

648 4.2. Model calibration

649 The calibration process was carried out using PEST; therefore, each calibrated parameter
 650 had a different value for different rainfall events on LH106. For most events, parameters were
 651 calibrated within eight iterations, with a maximum number of 15 iterations. NSE for cumulative
 652 runoff volume ranges from 0.85 to 0.99 with a mean of 0.96, as there are ten runoff data points
 653 and four calibrated parameters per event in the hydrology component of RHEM. The RHEM
 654 calibration produced the following average values of overland flow parameters: Total friction
 655 factor $f_t=3.10$ (dimensionless), $K_e=6.26$ ($mm h^{-1}$), and net capillary drive $G=90$ (mm). The
 656 calculated parameters by the parameter estimation equations were as follows: Total friction
 657 factor $f_t=2.60$ (dimensionless) and $K_e=7.29$ ($mm h^{-1}$). The calibrated net capillary drive G value
 658 (90 mm) was smaller than the recommended in the KINEROS2 manual (127 mm) and reported
 659 by Rawls *et al.* [1982] for a sandy loam soil texture class.
 660

661 The calibration of K_{ss} for each soil erosion event using PEST was achieved as follows.
 662 Total friction factor, effective saturated hydraulic conductivity, capillary drive and K_w remained
 663 fix for every calibration run. For most events K_{ss} was calibrated within three or five iterations.
 664 NSE for cumulative soil loss ranges from 0.81 to 0.96 with a mean of 0.90. The mean calibrated
 665 K_{ss} was 2089 ($m^2 s^{-2}$), which is lower than the value estimated by the equations proposed by Al-
 666 Hamdan *et al.* [2016] as reported in Table 4.
 667
 668
 669

670 4.3 Model Evaluation using NRI data

671

672 This section reports the effects of ground cover on total friction factor (f_t), effective
673 saturated hydraulic conductivity (K_e), and splash and sheet erodibility factor (K_{ss}) estimated
674 using the parameter estimation equations.

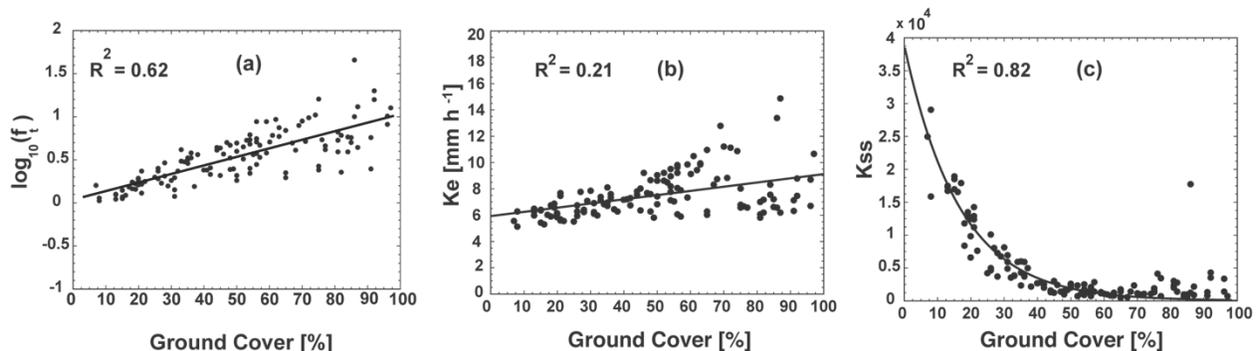
675

676 To investigate the effect of foliar canopy cover and ground cover on sediment yield on the
677 124 NRI points, the RHEM model was run for a 300-year synthetic rainfall sequence generated
678 by CLIGEN V5.3 [Nicks *et al.*, 1995] based on the statistics of historic rainfall at each climate
679 station.

680

681 The associations between ground cover and $\log_{10}(f_t)$, K_e , and K_{ss} are shown in Figure 7.
682 They provide a basis for evaluating the behavior of the parameter estimation equations. That is,
683 $\log_{10}(f_t)$ increased with increasing ground cover as shown in Figure 7(a), the strong positive
684 correlation coefficient ($r=0.79$, $p < 0.05$), suggesting that the parameter estimation equation to
685 predict total friction roughness was not affected by outliers or small departures from model
686 assumptions. For example, a slope steepness of 55% was reported in one NRI plot as shown in
687 Figure 5(c). Similarly, we expected that K_e would increase with increased litter cover and basal
688 area cover as shown in Figure 7(b). Although the spread of K_e around 80% ground cover, with
689 the moderate correlation coefficient ($r=0.46$, $p < 0.05$), suggests that the parameter estimation
690 equation for predicting K_e for a sandy loam soil texture class was not affected by small
691 departures from model assumptions. The rate of rapidly increasing K_{ss} starts at about 35%
692 ground cover; this threshold value is consistent with several studies which concluded that erosion
693 to runoff ratio increases substantially when bare ground exceeds 50% [e.g. Al-Hamdan *et al.*,
694 2013; Pierson *et al.* 2013]. This is supported by Gifford's [1985] and Weltz *et al.* [1998]
695 extensive reviews of the literature on rangeland cover, which concluded that ground cover should
696 be maintained above a critical threshold of ~50-60% to protect the soil surface adequately. A
697 strong negative Spearman correlation coefficient ($\rho = -0.71$, $p < 0.05$) and a fitted decaying
698 exponential model ($R^2 = 0.82$, $p < 0.05$) to the data shown in Figure 7(c) confirms the expected
699 decreasing monotonic trend between ground cover and K_{ss} , and the NRI point with 55% slope
700 did not appear to cause an adverse effect on the correlation coefficient and fitted decaying
701 exponential model.

702



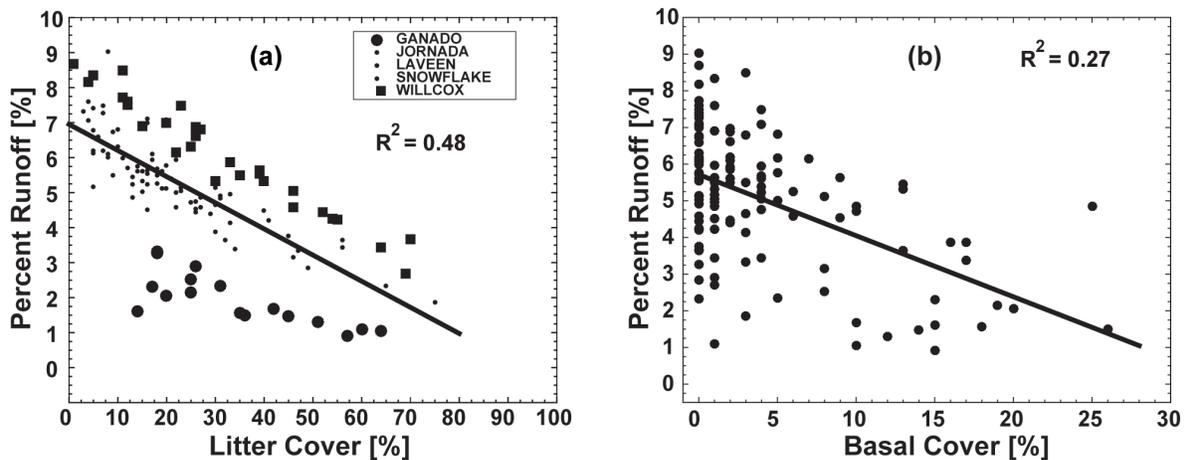
703

704 **Figure 7.** The association between ground cover and total friction factor (f_t), effective saturated
705 hydraulic conductivity (K_e) and splash and sheet erodibility coefficient (K_{ss}). (a) strong positive
706 linear correlation between ground cover and $\log_{10}(f_t)$, (b) moderate linear correlation between

707 ground cover and K_e , and (c) strong Spearman rank correlation coefficient between ground cover
708 and K_{SS} .

709
710 Given that vegetation contributes much to the hydrologic and hydraulic properties of the
711 surface, it is logical to account for the vegetation in the surface runoff process. To investigate the
712 influence of litter and basal cover on percent runoff, defined as the ratio of runoff to
713 precipitation, we found a strong negative linear correlation ($r = -0.70$, $p < 0.05$) with litter as
714 depicted in Figure 8(a). Furthermore, two distinct patterns of percent runoff emerged as a
715 function of annual rainfall amount observed at the Ganado and Willcox weather stations. That is,
716 both weather stations' area of influence had similar amounts of litter cover percent (Ganado:
717 mean=34% and Willcox: mean=31%), but distinct annual rainfall regimes (Ganado: 268 mm and
718 Willcox: 306 mm). Furthermore, the Ganado's area of influence is characterized by sod grasses
719 (mean=19%) and forb/annual grasses (mean=12%), and the Willcox's area is characterized by a
720 combination of shrub (mean=19%), bunch grasses (12%), and forb/annual grasses (mean=11%).
721 The Laveen weather station has the lowest annual rainfall amount (207mm) and the lowest litter
722 cover percent (16%), and it is mainly shrub-forb/annual grasses-dominated (mean=9% and
723 mean=6%, respectively).

724
725 To investigate the influence of basal cover on percent runoff, we found a moderate
726 negative relationship depicted in Figure 8(b). Although no patterns emerged in this relation, the
727 model was able to capture the influence of basal dynamics by showing a negative trend.
728



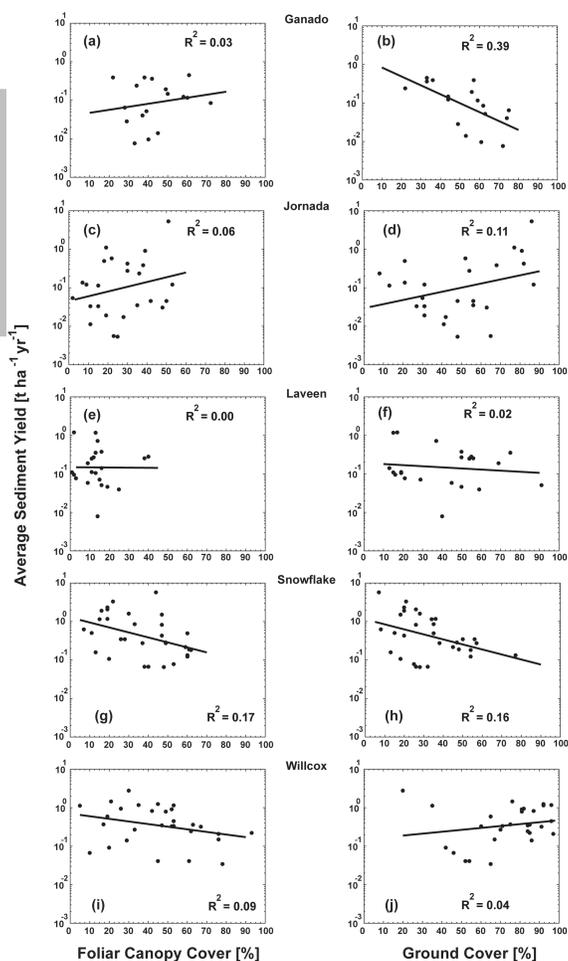
729
730 **Figure 8.** Runoff as a percent of precipitation showing the negative relationship with (a) litter
731 cover percent and (b) basal cover percent.

732
733 We estimated the correlation coefficient to measure the strength of association between
734 average annual sediment yield and the variables foliar canopy cover and ground cover, and
735 grouped by weather stations. The results are shown in Figure 9. The strength of association
736 between average annual sediment yield and the variable foliar canopy cover is poor, it ranged
737 from -0.41 to -0.30 (Fig. 9(g)(i)); however, for the Ganado and Jornada weather stations the
738 association was positive, which contradicts what would expect for this association, and for the
739 Laveen station there is no linear relationship between the variables. One possible explanation for
740 the behavior of this relation is the low yearly sediment yield (mean=0.16 t ha⁻¹) produced under

741 the Ganado annual rainfall regime. In contrast, the mean yearly sediment yield for the Snowflake
 742 and Willcox weather stations were as follows 0.88 t ha⁻¹ and 0.59 t ha⁻¹, respectively. These
 743 results suggest that low yearly sediment yield, in general, is not well described by foliar canopy
 744 cover. According to the boxplots shown in Fig 5c, three NRI plots were considered as possible
 745 outliers within the Jornada weather station area of influence, which may have a strong effect on
 746 the sediment yield predictions.

747
 748 Likewise, we computed the correlation coefficient to investigate the strength of the
 749 association between yearly sediment yield and ground cover percent (Fig. 9). We found that the
 750 association is stronger with ground cover than with foliar canopy cover, which is expected [e.g.,
 751 Nearing *et al.*, 2005]. A moderate positive association was found with NRI plots within the
 752 Jornada weather station's area of influence (Fig. 9d), the steep slope argument could be made
 753 here to explain the positive trend. However, steep slopes were found in NRI plots within the
 754 Willcox weather station's area of influence (20% < slope < 30%) and the association between
 755 yearly sediment yield and foliar canopy cover was negative and ground cover was positive. The
 756 results suggest that ground cover, in general, is more highly associated with yearly sediment
 757 yield than is foliar canopy cover.

758



759
 760

Figure 9. Association between average sediment yield and foliar canopy cover and ground cover

761 **5. Conclusions**

762

763 Reliable parameter inference is critical for meaningful prediction of soil erosion models.
764 The capability of RHEM for simulating flow and soil erosion was tested on a small watershed in
765 Arizona and on 124 NRI plots placed in Arizona and New Mexico. In particular, we were
766 interested in evaluating the parameter estimation equations for predicting total friction factor (f_t),
767 effective saturated hydraulic conductivity (K_e), splash and sheet erodibility coefficient (K_{ss}), and
768 concentrated flow erodibility coefficient (K_w).

769

770 The performance of the model for predicting runoff volume, peak runoff, and sediment
771 yield using the parameter estimation equations in 23 events at LH106 is as follows. Based on the
772 RSR criterion [Moriassi *et al.*, 2007], model performance is “very good” for runoff volume, and
773 “good” for peak runoff and sediment yield. However, based on the *PBIAS* criterion [Moriassi *et*
774 *al.*, 2007], the performance of the model can be evaluated as ‘very good’ for runoff volume,
775 “satisfactory” for peak runoff, and “good” for sediment yield. We achieved acceptable goodness
776 of fit for runoff volume and sediment yield in the model calibration at LH106 on 23 events, the
777 level of calibration was quantified with the Nash and Sutcliffe efficiency coefficient.

778

779 We compared the parameters calculated by the parameter estimation equations with the
780 calibrated parameters at LH106. The parameter values calculated with the parameter estimation
781 equations fell within the lowest and highest calibrated values of each parameter. The ability of
782 the parameter estimation equations to adequately produce parameter values for the application of
783 RHEM on a small watershed suggest that the model is well suited for small subwatersheds,
784 provided that gully erosion is not the main active process in the watershed.

785

786 It should be noted that we kept K_w ($8.62 \times 10^{-6} \text{ m}^2 \text{ s}^{-2}$) constant to avoid over-
787 parameterization and cause adverse effects on model soil erosion predictive capacity. Runoff
788 generation and sediment predictions are simulated in the model with separate functions, but we
789 assumed that splash and sheet and detachment by concentrated flow are processes acting
790 simultaneously in rangelands. Therefore, particular attention is needed as to whether K_w remains
791 constant in further applications of RHEM outside of Lucky Hills environment.

792

793 We selected 124 NRI points in Arizona and New Mexico and ran those points through
794 RHEM to estimate runoff and sediment yield. The NRI points were placed into five groups
795 according to the weather station’s area of influence. The results suggest that the parameter
796 estimation equations conveyed coherent information to the model. That is, moderate and strong
797 negative correlation coefficients between ground cover percent and total friction factor, effective
798 hydraulic conductivity, and splash and sheet erodibility coefficient were achieved. Likewise,
799 moderate and strong negative correlation coefficients were found between litter cover and basal
800 cover percent and percent runoff. In contrast, weak and moderate negative correlation
801 coefficients were found between foliar canopy cover and ground cover and sediment yield, in the
802 Jornada weather station group, the correlation coefficient was weak and positive. Lack of
803 information on this location prevents further analysis as to explain the behavior of the weak
804 positive trend between the foliar canopy and ground cover variables and sediment yield. We
805 noticed NRI points having large slopes (55 %) in this area and considered as possible outliers,

806 these inconsistencies in the data may be transferred and amplified in the sediment yield
807 simulations.

808
809 Evaluation of the model predictions undertaken in this study demonstrates that RHEM
810 produces results of satisfactory quality when simulating large flow and soil erosion events, but a
811 greater degree of uncertainty is associated with predictions of small runoff and soil erosion
812 events.

813 A high level of empirical knowledge and, in particular, prior knowledge of rangeland,
814 management practices, soil and climatic conditions is a big advantage during all phases of the
815 RHEM modeling, from hillslope characterization to interpretation of results.

816

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